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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/834,494	04/13/2001	Andreas Schade	CH919990043US1 (590.041)

CONFIRMATION NO. 3573

## FORMALITIES LETTER



\*OC000000006147562\*

REFERENCE & ASSOCIATES  
129 Oakhurst Road  
Pittsburgh, PA 15215

Date Mailed: 06/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

MTB

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/15/2001 KZHDIE 00000038 09834494

61 FC:105

130.00 DP



Atty. Docket No. CH919990043US1  
(590.041)

Sector #  
#3

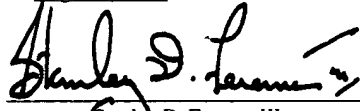
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Schade et al.  
Serial No. : 09/834,494 Examiner : Unassigned  
Filed : April 13, 2001 Art Unit : Unassigned  
For : MATCH-MAKING IN ELECTRONIC TRADING  
SYSTEMS

August 6, 2001

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

I hereby certify that this correspondence and any documents referred to as enclosed therewith are being deposited with the United States Postal Service as first class mail, addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on August 6, 2001.

  
Stanley D. Ference III  
Reg. No. 33,879

August 6, 2001  
Date of Signature

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

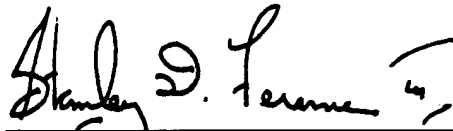
In response to the Notice to File Missing Parts dated June 5, 2001, Applicant submits herewith a duly executed **Declaration and Power of Attorney for Patent Application**. As the two (2) month period for response expired on a Sunday, this response is timely as it is filed on the next succeeding day which is not a Saturday or Sunday.

A check in the amount of \$130.00 is enclosed to cover the surcharge for the late filing of a signed Declaration. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or credit **IBM Corporation Deposit Account No. 50-0510** as required to correct the error. Duplicate copies of this document are enclosed.

As required, a copy of the Notice to File Missing Parts is attached.

Since all the missing parts have now been supplied, it is submitted that the application is now complete and in form for examination. Accordingly, such examination and prompt allowance are earnestly solicited.

Respectfully submitted,



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Stanley D. Ference III  
Registration No. 33,879

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Enclosures